

**Breast Cancer Management:  
Updates for 2021**

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In the United States, breast cancer is the most commonly diagnosed female cancer, and the second most common cause of cancer death in women.

Estimated new cases			Estimated deaths		
Females			Females		
Breast	281,550	30%	Lung & bronchus	62,470	22%
Lung & bronchus	116,660	13%	Breast	43,600	15%
Colon & rectum	69,980	8%	Colon & rectum	24,460	8%
Uterine corpus	66,570	7%	Pancreas	22,950	8%
Melanoma of the skin	43,850	5%	Ovary	22,950	5%
Non-Hodgkin lymphoma	35,930	4%	Uterine corpus	12,940	4%
Thyroid	32,130	3%	Liver & intrahepatic bile duct	9,930	3%
Pancreas	28,480	3%	Leukemia	9,760	3%
Kidney & renal pelvis	27,300	3%	Non-Hodgkin lymphoma	8,550	3%
Leukemia	25,560	3%	Brain & other nervous system	8,100	3%
All Sites	927,910	100%	All Sites	289,150	100%

Cancer Statistics, 2021. CA Cancer J Clin. 2021; 71: 7-33.

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10-yr relative survival

AJCC/UICC stage

Singletery DA cancer J clin 2006

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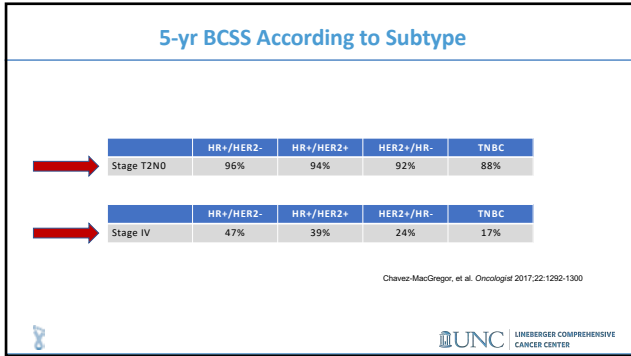
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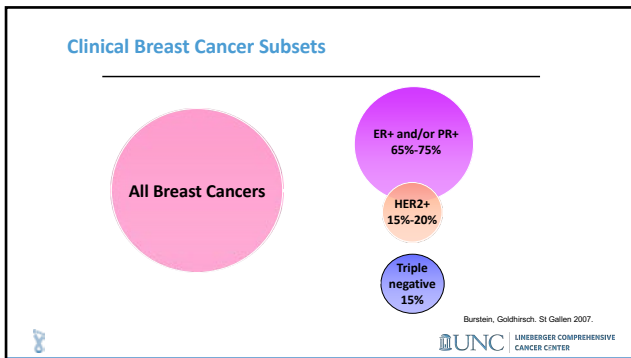
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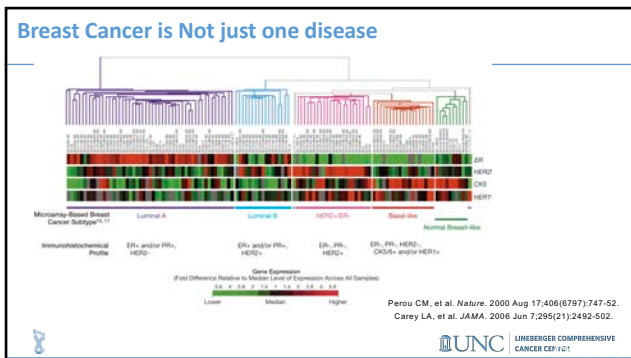
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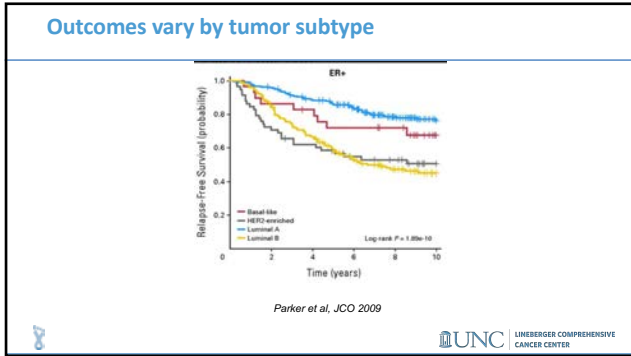
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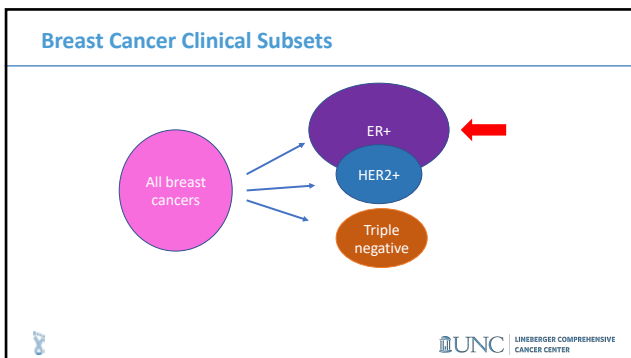
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### Historical Approach

#### 2000 NIH Consensus Conference

- “Because adjuvant polychemotherapy **improves survival**, it should be recommended to the **majority of women** with localized breast cancer **regardless of nodal, menopausal, or hormone receptor status.**”
- Bottom line: Tumor >1 cm, give chemo

*Adjuvant Therapy for Breast Cancer. NIH Consensus Statement 2000 November 1-3; 17(4): 1-23.*

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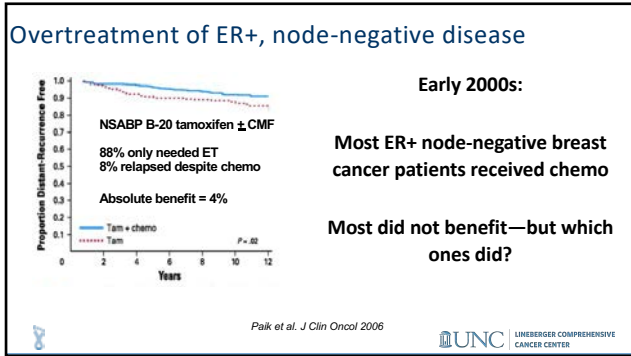
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### Which patients with ER+ breast cancer should receive chemotherapy?

- Tumor is sensitive to chemotherapy, and
- There is no competing co-morbidity, and
- The realistic benefits outweigh the risks of chemotherapy.

Who exactly are these patients?

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### Predictive / Prognostic Genomic Assays

Assay	RNA-based assays
Oncotype Dx® Recurrence Score	From 250 known genes modeled for relapse in mixed pop'n (esp NSABP B-20 HR+ NO Rx tamoxifen) to derive 16 most relevant genes
Prosigna® ROR-PT	50 intrinsic subtype genes + proliferation genes + tumor size modeled for relapse in NO untreated population
MammaPrint®	Select 70 genes from case/control study of relapse within 5y (all NO, mostly HR+)
EndoPredict®	Select 8 genes + T+ N modeled for distant mets in HR+ HER2- Rx tamoxifen.
BCI®	Select 2-gene ratio (HOKB13:IL17BR), tailored to include Molecular Grade Index for distant mets.

Phar. S. NEJM 2014; Parker. JT. JCO 2017; Sparano 2018; Finn R. COOP 2011; Liu XJ. Cancer Cell 2009

★ Covered by Medicare/commercial insurance  
 ★ ER+/HER-2 neg tumors >0.5cm, node negative or 1-3 nodes



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### TAILORx

ORIGINAL ARTICLE

#### Adjuvant Chemotherapy Guided by a 21-Gene Expression Assay in Breast Cancer

- The 21-gene recurrence score predicts chemotherapy benefit if it is high and a low risk of recurrence in the absence of chemotherapy if it is low
- But what about patients who have a midrange score?

Sparano JA, NEJM 2018



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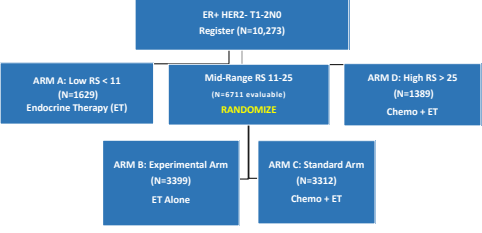
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### TAILORx



Sparano JA, NEJM 2018



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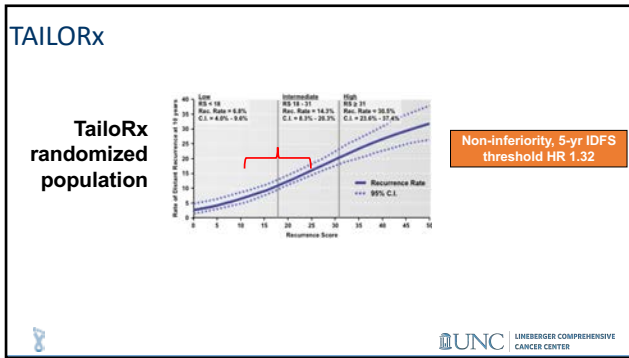
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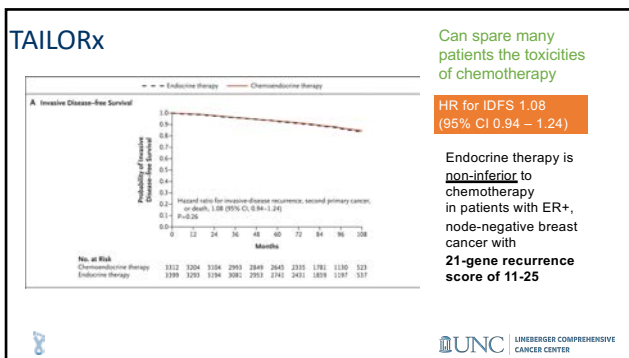
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**TAILORx – Women age ≤50**

In women 50 years of age or younger, chemotherapy was associated with a lower rate of distant recurrence than endocrine therapy if the recurrence score was:

- 16 to 20 (1.6% difference at 9 years) **Still consider chemotherapy for these patients**
- 21 to 25 (6.5% difference at 9 years)

Rates of overall survival were similar (at 9 years of follow up).

See Table 3 in manuscript for Estimated Survival Rates According to Recurrence Score and Assigned Treatment

Sparano JA, NEJM 2018

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### TAILORx

Supplementary Table 1. Characteristics of patients by assigned treatment in intention-to-treat population

Tumor size (cm)				
Median (interquartile)	1.5 (1.2, 2.0)	1.5 (1.2, 2.0)	1.5 (1.2, 2.0)	1.7 (1.3, 2.3)
Mean – cm (+/- SD)	1.74 (+/-0.76)	1.71 (+/-0.81)	1.71 (+/-0.77)	1.88 (+/-0.99)
Distribution –no./total				
<= 1.0	202 (12%)	446 (13%)	423 (13%)	188 (14%)
1.1 - 2.0	1018 (63%)	2150 (63%)	2103 (64%)	741 (53%)
2.1 – 3.0	297 (18%)	640 (19%)	625 (19%)	348 (25%)
3.1 – 4.0	83 (5%)	122 (4%)	119 (4%)	91 (7%)
>= 4.1	19 (1%)	41 (1%)	40 (1%)	20 (1%)
Unknown	0	0	2	1

We really don't know how the 21-gene recurrence score performs for larger tumors.

Sparano JA, NEJM 2018

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### Practical Applications

Node negative	Premenopausal				Postmenopausal	
	Genomic assay to guide adjuvant therapy				Genomic assay to guide adjuvant therapy	
RS 0-16 ET alone	RS 16-20 ET +/- chemo	RS 21-25 Chemo + ET	RS ≥26 Chemo + ET	RS 0-25 ET alone	RS ≥26 Chemo + ET	

\*Patient comorbidities, preferences, and tumor clinicopathologic features must be considered

Sparano JA, NEJM 2018  
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### Take-Homes from TAILORx

The **RSclin** tool provides individualized prognosis estimates and chemotherapy benefit based on entry of patient information for the RS result, age, tumor size, and tumor grade.

Sparano JA. Journal of Clinical Oncology 2021.

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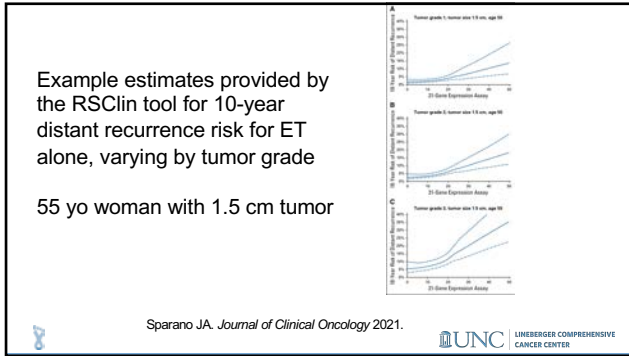
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### RxPONDER

**RxPONDER: A Clinical Trial Rx for Positive Node, Endocrine Responsive Breast Cancer**

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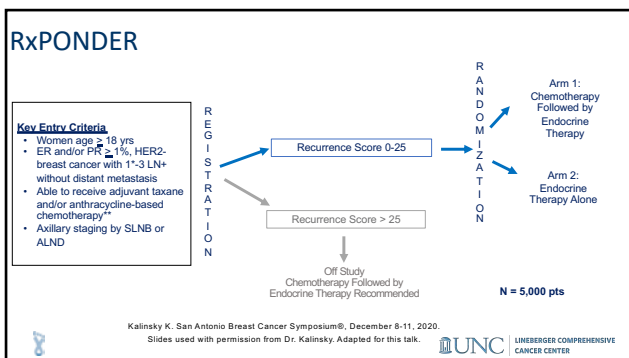
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RxPONDER

- **Primary Objective**
  - Determine the effect of chemotherapy on invasive disease-free survival (IDFS) in pts with 1-3 LN+ breast cancer and a RS  $\leq$  25 and assess whether the effect depends on the RS
- **Primary Hypothesis**
  - Chemotherapy benefit will increase as the RS increases from 0 to 25 in an Intent-to-Treat (ITT) analysis

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RxPONDER

Baseline variable	Endocrine Therapy (n=2,506)	Chemotherapy (n=2,509)	Overall (n=5,015)
Race			
White	64.9%	66.4%	65.7%
Black	4.8%	5.1%	5.0%
Asian	6.8%	6.1%	6.5%
Other/Unknown	23.5%	22.3%	22.9%
Hispanic			
Yes	13.0%	11.9%	12.4%
No	67.6%	68.9%	68.3%
Unknown	19.4%	19.3%	19.3%
Menopausal status			
Premenopausal	33.2%	33.2%	33.2%
Postmenopausal	66.8%	66.8%	66.8%
Recurrence Score			
RS 0-13	42.7%	42.9%	42.8%
RS 14-25	57.3%	57.1%	57.2%
Nodal Dissection			
Full ALND	62.7%	62.5%	62.6%
Sentinel nodes only	37.4%	37.5%	37.4%

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RxPONDER

Baseline variable	Endocrine Therapy (n=2,506)	Chemotherapy (n=2,509)	Overall (n=5,015)
Positive Nodes			
1 node	65.9%	65.0%	65.5%
2 nodes	24.9%	25.7%	25.3%
3 nodes	9.2%	9.2%	9.2%
Grade			
Low	24.6%	24.7%	24.7%
Intermediate	64.1%	66.1%	65.1%
High	11.3%	9.2%	10.3%
Tumor size			
T1	58.5%	57.7%	58.1%
T2/T3	41.5%	42.3%	41.3%

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### Primary Analysis with Interaction Term

Term	Hazard ratio	2-sided p-value	95% CI
Chemotherapy	0.56	0.07	0.30 – 1.05
RS (per unit change)	1.05	<0.001	1.02 – 1.07
Menopausal status	1.00	0.97	0.82-1.24
Chemo x RS Interaction	1.02	0.30	0.98-1.06

Amongst pts with RS 0-25, RS does not predict the relative benefit of chemotherapy for IDFS

Relative benefit of chemo is not smaller with a lower RS and not greater with a higher RS

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### Primary Analysis without Interaction Term:

#### Chemotherapy use and RS are independently prognostic for IDFS

Term	Hazard ratio	2-sided p-value	95% CI
Chemotherapy	0.81	0.026	0.67 – 0.96
RS (per unit change)	1.06	<0.001	1.04 – 1.07
Menopausal status	1.03	0.77	0.82-1.26

Pts who received chemotherapy less likely to have an IDFS event  
Pts with a higher RS more likely to have an IDFS event

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### RxPONDER

5 year IDFS Absolute Difference: 1.4%

Adjusted HR = 0.81; 95% CI 0.67-0.96; p=0.002

Number at risk

Years since randomization	0	1	2	3	4	5	6	7	8	9
CET (N = 2,509; 198 events)	2509	2277	2104	1893	1648	1397	857	403	122	4
ET (N = 2,506; 249 events)	2506	2327	2161	1910	1696	1404	846	397	135	11

CET = Chemotherapy + Endocrine Therapy; ET = Endocrine Therapy Alone

447 observed IDFS events (54% of expected at final analysis) at a median follow-up of 5.1 years

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**RxPONDER**  
Pre-specified Analysis by Menopausal Status

**Chemotherapy benefit for IDFS is different depending on menopausal status**

Term	Hazard ratio	2-sided p-value	95% CI
Chemotherapy	0.53	<0.001	0.37 – 0.76
RS (per unit change)	1.06	<0.001	1.04 – 1.08
Menopausal status	0.79	0.08	0.60-1.03
Chemo x Menopause Interaction	1.79	0.008	1.17-2.74

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**IDFS Stratified by Menopausal Status**

**Postmenopausal**

**No Statistically Significant IDFS Difference**

Number at risk:  
CET: 1675, 1514, 1400, 1268, 1113, 943, 585, 287, 86, 3  
ET: 1675, 1567, 1462, 1338, 1187, 975, 601, 298, 104, 9

**Premenopausal**

**5-year IDFS Absolute Difference 5.2%**

Number at risk:  
CET: 834, 763, 704, 625, 535, 454, 272, 116, 34, 1  
ET: 831, 760, 699, 602, 528, 428, 245, 99, 31, 2

**Absolute Difference in Distant Recurrence as 1<sup>st</sup> site: 0.3% (2.3% CET vs. 2.6% ET)**

**Absolute Difference in Distant Recurrence as 1<sup>st</sup> site: 2.9% (3.1% CET vs. 6.0% ET)**

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**IDFS Stratified by Recurrence Score and Menopausal Status**

**Premenopausal**

**RS 0-13**

**5-year IDFS Absolute Difference 3.9%**

Number at risk:  
CET: 311, 284, 257, 230, 202, 165, 101, 39, 11, 0  
ET: 334, 310, 284, 248, 215, 182, 105, 48, 16, 2

**RS 14-25**

**5-year IDFS Absolute Difference 6.2%**

Number at risk:  
CET: 523, 479, 447, 395, 333, 289, 171, 77, 23, 1  
ET: 487, 460, 415, 394, 314, 247, 140, 51, 15, 0


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### RxPONDER

- At this interim analysis with 54% of anticipated IDFS events in the overall population, the 21-gene RS 0-25 was prognostic but did not show a treatment interaction with chemotherapy
  - Relative benefit of chemotherapy was similar across RS 0-25
- Postmenopausal** women with RS 0-25 **did not benefit** from adjuvant chemotherapy in any subgroup
- Premenopausal** women with RS 0-25 had **benefit** from the addition of chemotherapy to endocrine therapy
  - 46% decrease in IDFS events; benefit was observed across premenopausal subgroups
  - 53% decrease in deaths, leading to a 5-year OS absolute improvement of 1.3%

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### Practical Applications

	Premenopausal				Postmenopausal	
Node negative	Genomic assay to guide adjuvant therapy				Genomic assay to guide adjuvant therapy	
	RS 0-16 ET alone	RS 16-20 ET +/- chemo	RS 21-25 Chemo + ET	RS ≥26 Chemo + ET	RS 0-25 ET alone	RS ≥26 Chemo + ET
Node positive (1-3 LN)	No role for genomic assays Chemo + ET (see RxPONDER for estimates of absolute benefit according to number of nodes, risk score)				Genomic assay to guide adjuvant therapy	
					RS 0-25 ET alone	RS ≥26 Chemo + ET
Node positive (4+ nodes)	No role for genomic assays Chemo + ET					

\*Patient comorbidities, preferences, and tumor clinicopathologic features must be considered

Sparano JA, NEJM 2018  
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## HR+ HER2- metastatic breast cancer



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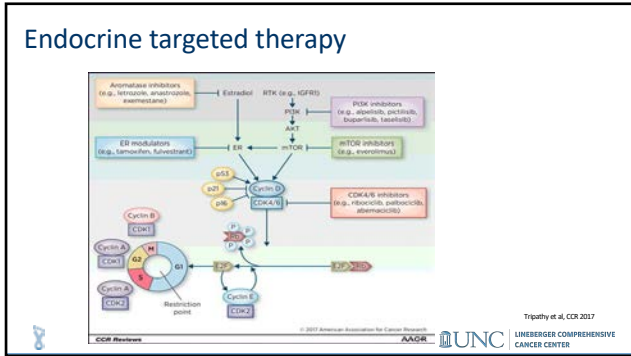
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### CDK 4/6 inhibitors in 1<sup>st</sup> and 2<sup>nd</sup> line

Design	1 <sup>st</sup> LINE TREATMENT				2 <sup>nd</sup> LINE TREATMENT			1 <sup>st</sup> AND 2 <sup>nd</sup> LINE TREATMENT
	PALOMA-2	MONALEESA-2	MONARCH-3	MONALEESA-7	PRASIFAL	PALOMA-3	MONARCH-2	MONALEESA-3
Design	Phase II placebo control	Phase III placebo control	Phase III placebo control	Phase II placebo control (pre-menopausal patients only)	Phase II open label	Phase II placebo control	Phase II placebo control	Phase III placebo control
Endocrine partner	Letrozole	Letrozole	Letrozole	Letrozole or Tamoxifen + LH2RH agonist	Letrozole or Fulvestrant	Fulvestrant	Fulvestrant	Fulvestrant
CDK4/6 inhibitor	Fulvestrant	Ribociclib	Abemaciclib	Ribociclib	Fulvestrant	Fulvestrant	Fulvestrant	Ribociclib
Patients on study, n	686	968	493	672	486	521	969	726
Primary Endpoint = PFS (CDK4/6 inhibitor + ET vs. ET)								
HR	0.58	0.56	0.54	0.55	1.13	0.46	0.55	0.58
Median PFS, months	24.8 vs 14.5 (10.3 mo)	23.3 vs 16 (8.3 mo)	28 vs 14.7 (13.3 mo)	23.8 vs 13 (10.8 mo)	27.5 vs 32.8 (5 mo)	8.5 vs 4.8 (4.8 mo)	16.4 vs 9.3 (7.1 mo)	28.5 vs 12.8 (17 mo)

Ingrid Mayer, SARC5 2020  
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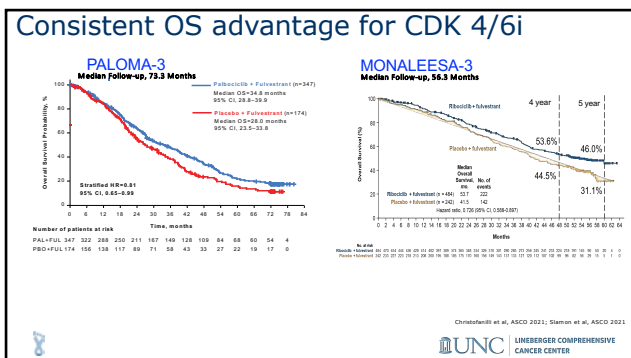
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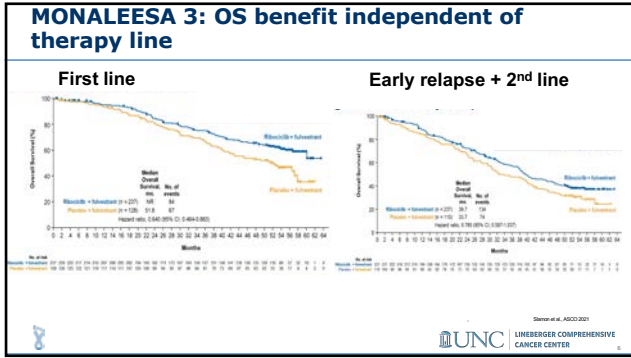
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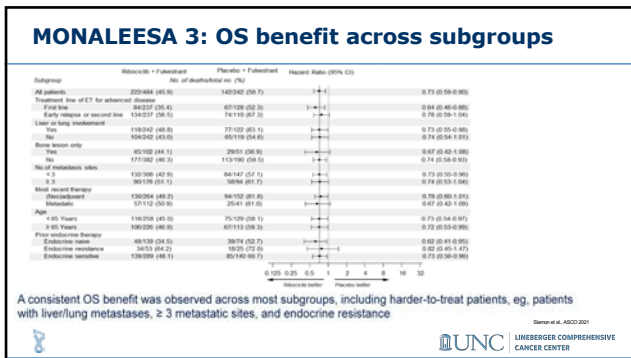
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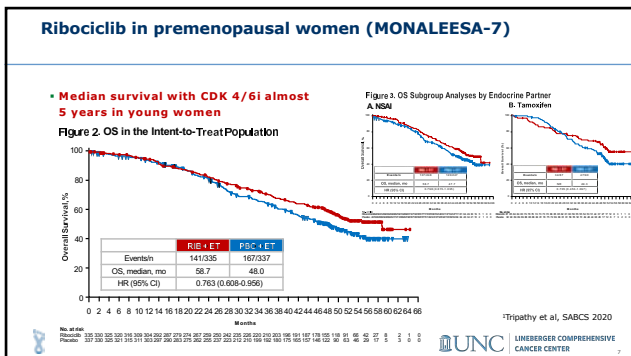
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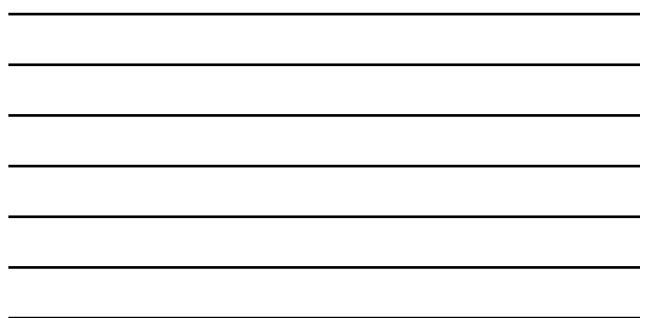
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### Young- Pearl: Comparing CDK4/6i to chemo in premenopausal women

**Young- Pearl Trial Design:**

- Population:** Premenopausal women with ER+/HER2- breast cancer, prior to first-line systemic chemotherapy for breast cancer.
- Randomization:** 1:1 to CDK4/6 inhibitor or chemotherapy.
- CDK4/6 Inhibitor Arm:** 01-21 Palbocicli 150mg QD for 3wks, 01-20 Exemestrol 50mg QD for 3wks, 01-14 Letrozole 3.75mg QD for 3wks.
- Chemotherapy Arm:** 01-14 Capcitabine 1250mg/m<sup>2</sup> bid for 2wks.
- Primary Endpoint:** PFS.
- Secondary Endpoints:** OS, ORR, safety, biomarker.

**Young- Pearl PFS Results:**

Treatment	n	HR (95% CI)	p-value
CDK4/6 Inhibitor	77	0.88 (0.67, 1.16)	< 0.001
Chemotherapy	77	1.00	

Park et al. ASCO 2019

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### Pearl: Comparing CDK4/6i to chemo in premenopausal women

**Pearl Trial Design:**

- Population:** Premenopausal women with ER+/HER2- breast cancer, prior to first-line systemic chemotherapy for breast cancer.
- Randomization:** 1:1 to CDK4/6 inhibitor or chemotherapy.
- CDK4/6 Inhibitor Arm:** 01-21 Palbocicli 150 mg daily, 2 weeks on, 1 week off; 01-20 Exemestrol 50 mg daily, 2 weeks on, 1 week off.
- Chemotherapy Arm:** 01-14 Capcitabine 1250 mg/m<sup>2</sup> daily, 2 weeks on, 1 week off.
- Primary Endpoint:** PFS.
- Secondary Endpoints:** OS, ORR, safety, biomarker.

**Pearl PFS Results:**

Treatment	n	HR (95% CI)	p-value
CDK4/6 Inhibitor	184	0.88 (0.67, 1.16)	< 0.001
Chemotherapy	164	1.00	

Martin et al. Annals of Oncology 2020

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### DAWNA-1: study design

**DAWNA-1 Study Design:**

- Patients:** Pathologically confirmed ER+, HER2- locally advanced or metastatic breast cancer; ECOG PS 0-1; Relapsed or progressed on previous endocrine therapy; <math>\geq 1</math> line of prior chemotherapy for recurrent/metastatic disease.
- Randomization:** 1:1 to Capcitabine + Placebo or Palbocicli + Placebo.
- Capcitabine + Placebo Arm:** Capcitabine 1250 mg/m<sup>2</sup> qd, d1-21, q4w + Placebo (150 mg po qd, d1-21, q4w).
- Palbocicli + Placebo Arm:** Palbocicli 150 mg po qd, d1-21, q4w + Placebo (500 mg po, cycle 1 d1, d15, then q1 q4w).
- Primary Endpoint:** PFS (Investigator).
- Secondary Endpoints:** PFS (PRC), OS, ORR, CBR, DOR, Time to first subsequent chemotherapy, Safety profile.
- Stratification Factors:** Histological subtype (Lobular vs Ductal), Menopausal status (postmenopausal vs pre- or perimenopausal).

Binghe Xu, ASCO 2021

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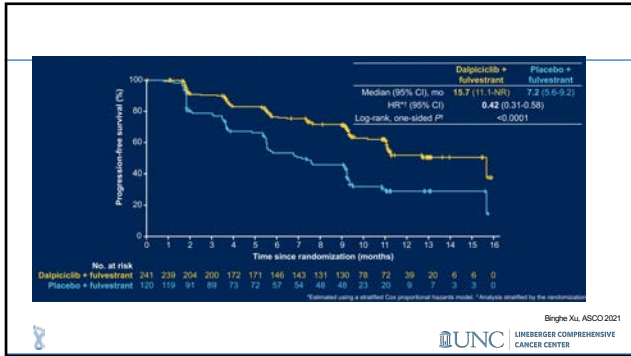
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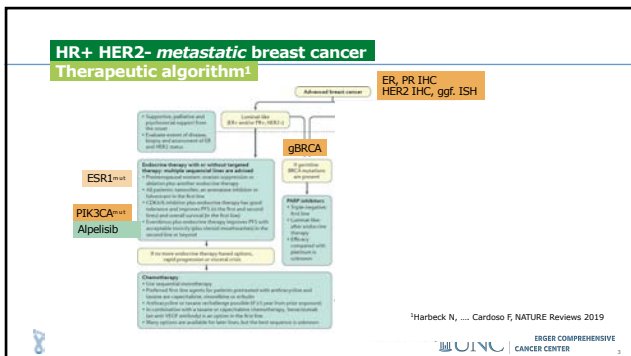
### Conclusions

- The phase 3 DAWNA-1 met its primary endpoint at the interim analysis, with PFS significantly improved with dalpiciclib + fulvestrant vs placebo + fulvestrant
- PFS: HR 0.42 (95% CI: 0.31-0.58)
- Benefit of dalpiciclib vs placebo extended beyond initial study treatment
- Time to first subsequent chemotherapy: HR 0.47 (95% CI: 0.32-0.69)
- Dalpiciclib + fulvestrant demonstrated a tolerable safety profile

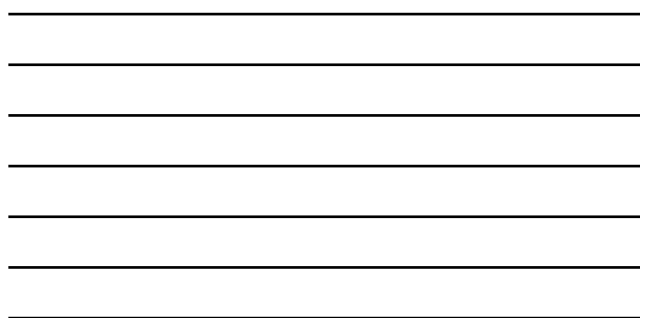
These findings support dalpiciclib + fulvestrant as a new treatment option in patients with HR+/HER2- advanced breast cancer who relapsed or progressed on prior endocrine therapy

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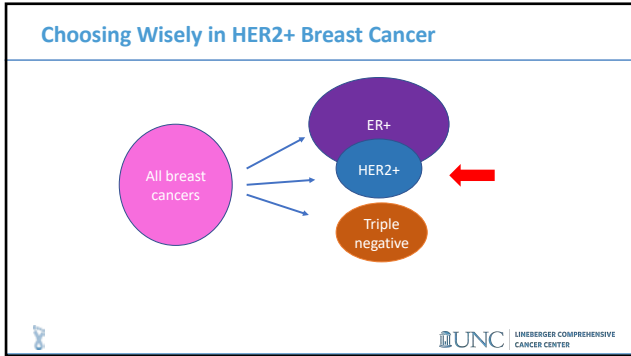
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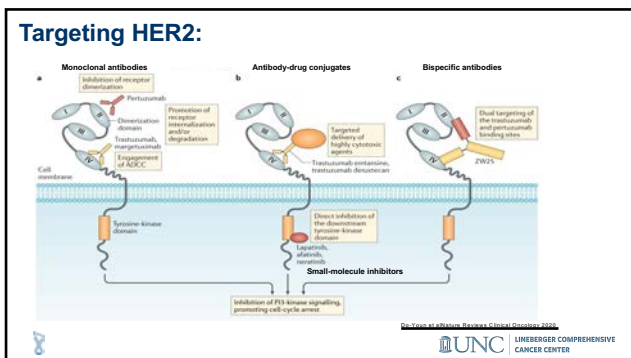
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### Building on Trastuzumab in adjuvant setting

Trastuzumab (H)	Pertuzumab (added to H)	Neratinib (after H)	TDM1 (in RD)	TH/TDM1 in stage 1	Tailoring to risk...
2005	2013-18	2018	2019	2017-19	2020+

- Therapeutic regimens with augmented effectiveness
- Role and benefits of the neoadjuvant approach
- Personalized approaches, including de-escalation

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Stage I HER2+: How low should we go?

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### APT TRIAL: STUDY DESIGN

HER2+  
ER+ or ER-  
Node Negative  
≤ 3 cm

Enroll

PACLITAXEL 80 mg/m<sup>2</sup> + TRASTUZUMAB 2 mg/kg x 12

FOLLOWED BY 13 EVERY 3 WEEK DOSES OF TRASTUZUMAB (6 mg/kg)\*

Tolaney SM et al, NEJM 2015  
Tolaney SM et al, JCO 2019

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### APT: OUTCOMES AT 7 YRS

#### DISEASE-FREE SURVIVAL

	Point Est.	95% Conf. Interval
3-yr DFS	98.5%	97.2% to 99.7%
5-yr DFS	96.3%	94.4% to 98.2%
7-yr DFS	93.3%	90.4% to 96.2%

#### RECURRENCE FREE INTERVAL

	Point Est.	95% Conf. Interval
3-yr RFI	99.2%	98.4% to >99.9%
5-yr RFI	98.1%	96.8% to 99.5%
7-yr RFI	97.5%	95.9% to 99.1%

Number at risk: 406, 388, 385, 378, 362, 347, 247, 120, 34

Tolaney SM et al, JCO 2019

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### International guidelines recommend the APT treatment regimen in patients with small, node-negative tumors

**St. Gallen Expert Consensus**

**Adjuvant therapy: HER2-targeted therapy**

Paclitaxel and trastuzumab is an effective regimen for stage I breast cancers with low rates of recurrence

**NCCN Breast Cancer Guidelines**

**Systemic adjuvant treatment\***

Adjuvant chemotherapy with weekly paclitaxel and trastuzumab<sup>†</sup> can be considered for stage I HER2-positive cancers, particularly if the primary cancer is ER-negative

**ESMO Primary Breast Cancer Clinical Practice Guidelines**

**Adjuvant systemic treatment\***

Luminal B1 HER2-positive tumors are treated with chemotherapy, endocrine therapy and trastuzumab<sup>†</sup>. As <sup>†</sup>Not randomized data exist to support omission of chemotherapy in this group, however, in small, node-negative tumors, the combination of single-agent paclitaxel and trastuzumab provides excellent results

Sara Tabone, ESMO breast 2021

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### Could there be even lower toxicity approaches for stage I disease?

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### Does T-DM1 have a role for Stage I HER2+ Disease?

**ATEMPT Trial**

**Key Eligibility Criteria**

- Stage I HER2+ breast cancer
- HER2 centrally tested (ASCO CAP 2013 guidelines)
- N0 or N1mic
- Left Ventricular EF ≥ 50%
- No prior invasive breast cancer
- ≤90 days from last surgery

N = 497

R 3:1

**T-DM1**

3.6 mg/kg IV q3 wks x 17

N = 383

**TH**

Paclitaxel 80 mg/m<sup>2</sup> IV + Trastuzumab 2 mg/kg IV wly x12 → Trastuzumab 6 mg/kg every 3 wks x13

N = 114

Tolaney S et al. SABCS 2019

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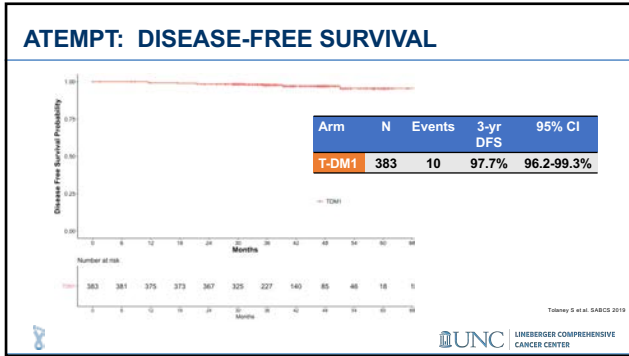
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### ATEMPT: CLINICALLY RELEVANT TOXICITY

Clinically Relevant Toxicity	T-DM1 (n = 383) N (%)	TH (n = 114) N (%)
Grade ≥3 non-hematologic toxicity	37 (10%)	13 (11%)
Grade ≥2 neurotoxicity	42 (11%)	26 (23%)
Grade ≥4 hematologic toxicity	0 (0%)	0 (0%)
Febrile neutropenia	0 (0%)	2 (2%)
Any toxicity requiring discontinuation	26 (7%)	26 (23%)
Any toxicity requiring early discontinuation	67 (17%)	7 (6%)
<b>Total</b>	<b>176 (46%)</b>	<b>53 (46%)</b>

**T-DM1 may be an alternative to TH in select patients**

Talbot S et al. SABCC 2019

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### Outcomes without systemic chemotherapy or trastuzumab

HR+/HER2+ (5-year estimates)	T1aN0 (n=102)	T1bN0 (n=89)
iDFS	86% (95% CI: 76-92)	86% (95% CI 76-92)
DRFS	96% (89-98%)	94% (95% CI:86-98%)
HR-/HER2+	T1aN0 (n=49)	T1bN0 (n=17)
iDFS	84% (95% CI: 69-92)	68% (95% CI 40-86)
DRFS	93% (95% CI 80-98)	94% (95% 63-99)

Vaz-Luis, I et al. JCO 2014

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**Which stage I HER2+ breast cancer patients should get systemic therapy**

Hormone Receptor Status	<0.5 cm	0.5-1.0cm	>1.0-2.0cm
HR+	NO	YES	YES
HR-	Sometimes*	YES	YES

\*if high risk features (high grade with LVI), and relatively larger size

courtesy of Sara Tobin




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**Can we do better in Stage 2-3 HER2 + BC?**

- Pertuzumab
- Trastuzumab-DM1 (T-DM1)
- Neratinib




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**Can we do better in Stage 2-3 HER2 + BC?**

- Pertuzumab
- Trastuzumab-DM1 (T-DM1)
- Neratinib




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### APHINITY - Dual Anti-HER2 Therapy

2019 update:

- Little effect in N-, 4.5%  $\Delta$  in N+
- Benefit in ER+ and ER-
- No cardiac safety signals

**Add pertuzumab in N+ regardless of ER**

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### Can we do better in Stage 2-3 HER2 + BC?

- Pertuzumab
- Trastuzumab-DM1 (T-DM1)
- Neratinib

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### Neoadjuvant Therapy Optimizes Rx and Risk Stratifies

	No of events	7-year RFS (95% CI)	HR (95% CI)	p value
pCR	14	0.89 (0.84-0.95)	0.42 (0.23-0.78)	0.006
RD	35	0.76 (0.69-0.83)	—	—

#1 Response allows surgical minimization - >50% of N+ converted to N- = facilitates omission of ALND

#2 Strong consistent relationship between pCR and relapse/survival in multiple trials = risk stratification for systemic Rx

Fernandez Martinez et al, JCO 2020

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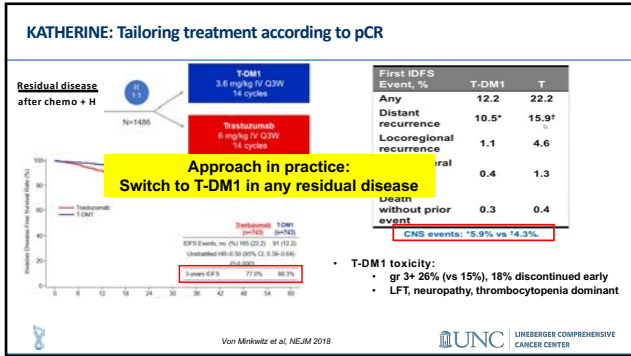
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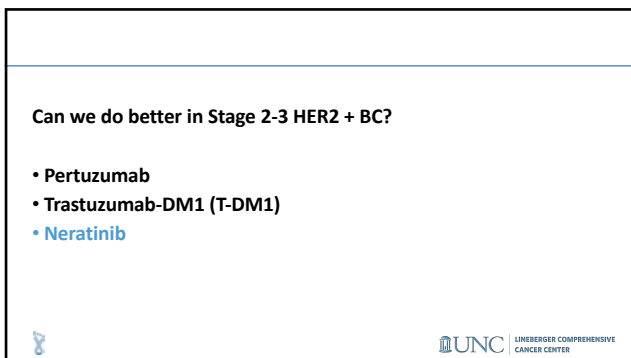
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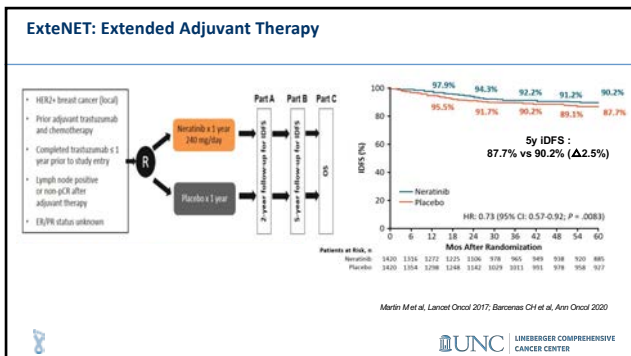
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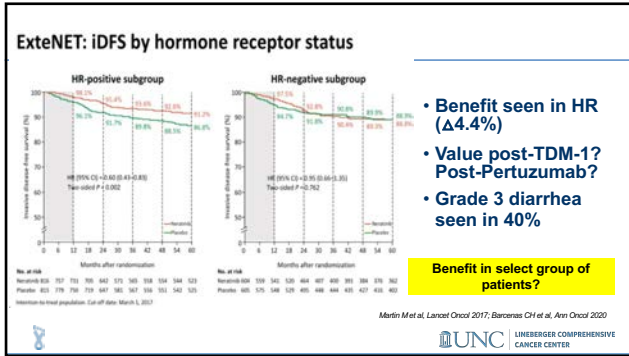
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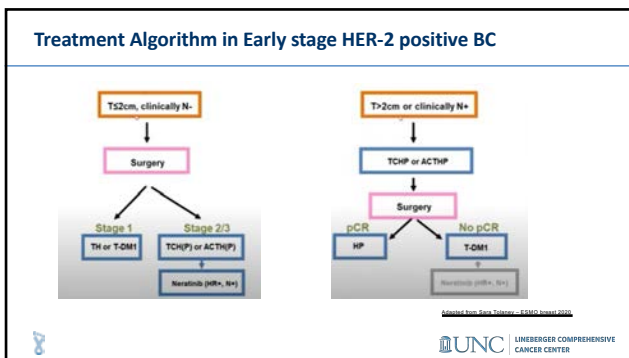
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• Less is More: De-escalating therapy in early stage HER2+ BC

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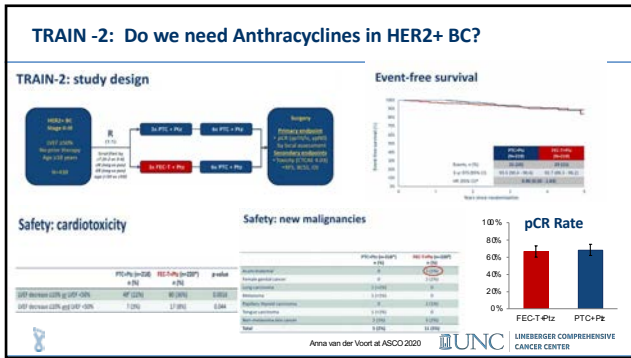
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### DE-ESCALATION ALERT

#### Conclusions

- Three-year follow-up of the TRAIN-2 study shows no EFS and OS benefit for an anthracycline-containing regimen in stage II and III HER2-positive breast cancer
- There is no evidence that higher risk HER2-positive breast cancer patients require anthracyclines
- The addition of anthracyclines increases the risk of febrile neutropenia and cardiac toxicity
- Next step: further de-escalate chemotherapy

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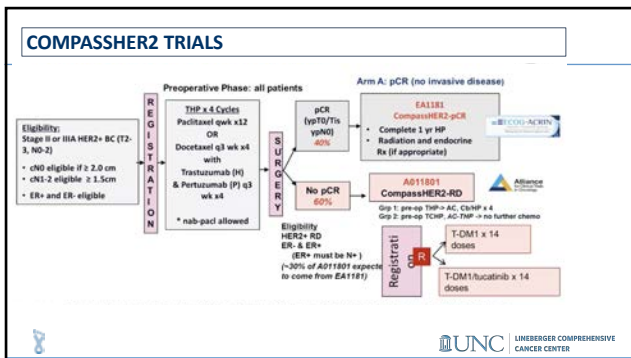
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- Adapting adjuvant therapy based on response to preoperative therapy is a paradigm shift for HER2+ breast cancer
- Most patients with HER2+ T>2 cm or cN+ should receive preop TH-based CT
- All patients who fail to achieve a pCR should receive adjuvant T-DM1
- Extending adjuvant therapy with 1 yr of neratinib can benefit some patients
- Most patients with stage I HER2+ breast cancer should receive adjuvant TH
  - The value of neoadjuvant TH in stage I should be explored
- Future studies are looking at both escalation and de-escalation strategies
  - New predictive/prognostic tools are needed for this purpose

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- Metastatic HER-2 positive BC

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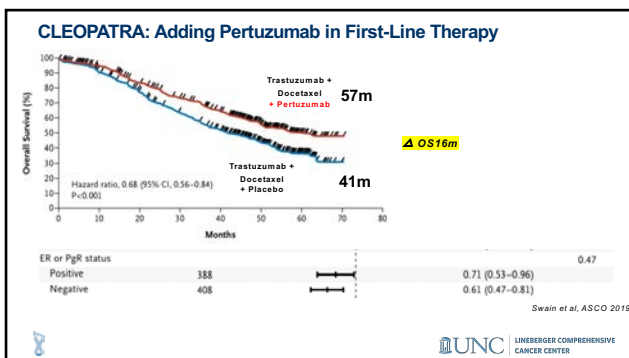
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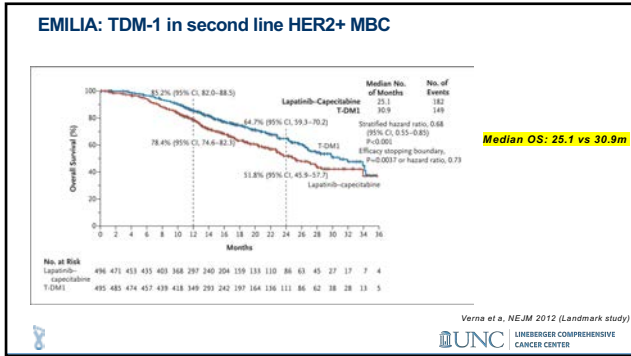
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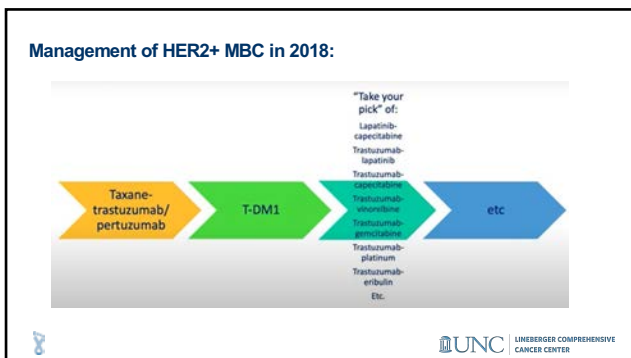
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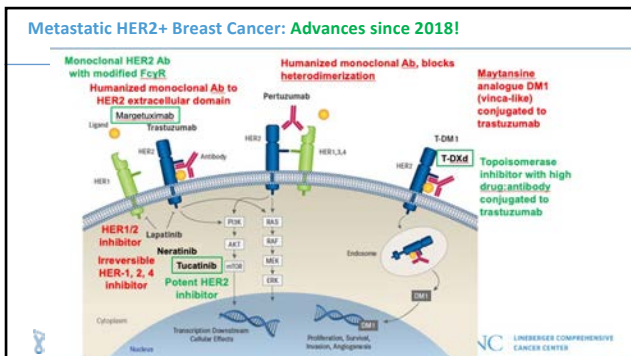
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### Trastuzumab Deruxtecan (T-DXd):

A humanized anti-HER2 IgG1 mAb with the same amino acid sequence as trastuzumab, covalently linked to a topoisomerase I inhibitor payload, an exatecan derivative, via a tetrapeptide-based cleavable linker

Ogatai Y, et al. Cancer Sci. 2016

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### DESTINY-Breast01: Trastuzumab Deruxtecan for 3L+ HER2+ MBC

Median of 6 (2-27) prior lines of therapy

Confirmed ORR: 65.9% (95%CI 53.4%-68.0%)  
13 CR

Progression-Free Survival

Very active in pretreated HER2+ MBC patients  
• ILD risk is worrisome

On December 20, 2019, FDA granted accelerated approval to fam-trastuzumab deruxtecan for pts who had 2 or more lines of therapy

Toxicity:

- Gr3+: Neutropenia
- Discontinuation: 15%
- ILD: 14%, mostly grade 1/2
  - 4 (2.2%) deaths
  - Median onset 193d
  - Reversible in ~ 50% (?) - treat with dose reduction and steroids

Modi S et al. NEJM 2020

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### Tucatinib : Oral, HER2 selective TKI

#### HER2CLIMB: Tucatinib added to Capecitabine + Trastuzumab

Key Eligibility Criteria

- HER2+ MBC
- Prior trastuzumab, pertuzumab, and T-DM1
- Brain MRI: no mets or mets not needing immediate local Rx

N=410 Tucatinib + Trastuzumab + Capecitabine

N=202 Placebo + Trastuzumab + Capecitabine

N=612, 48% with brain metastasis

Murthy R et al. NEJM 2020

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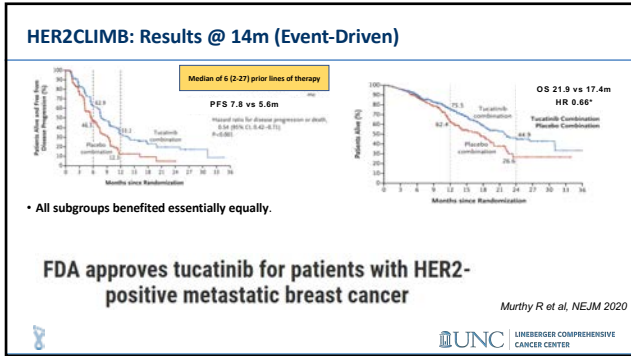
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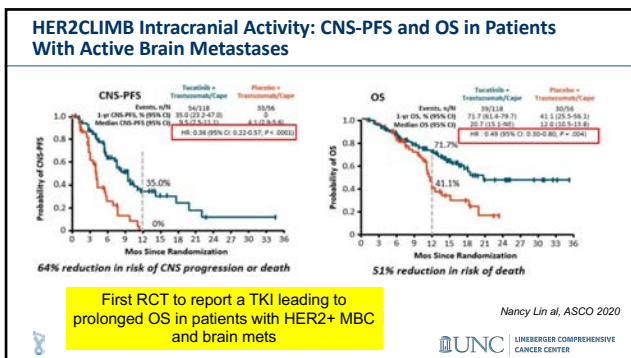
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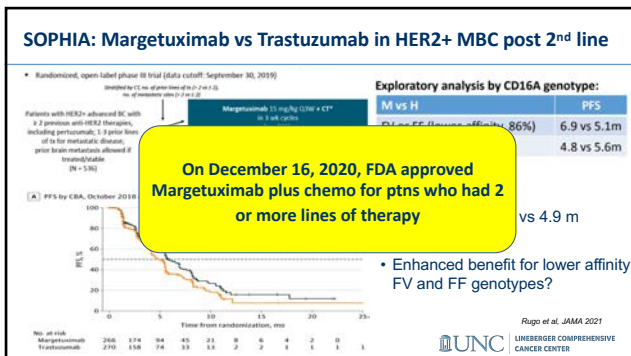
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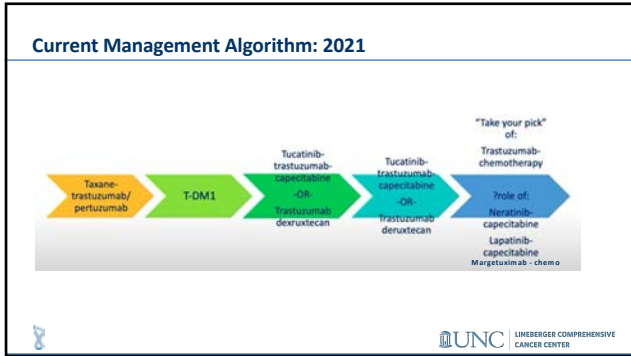
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- ### Several New Agents in Development
- Trastuzumab duocarmazine (SYD 985)
  - Zanidatamab (ZW25)
  - ZW49
  - ZN-A-1041
  - Pyrotinib
  - Combinations with CDK 4/6 inhibitors
  - Combinations with immunotherapy
- Logos for UNC and Lineberger Comprehensive Cancer Center are at the bottom.

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### CARISMA CT-0508 STUDY 101

- CT-0508 is a cell product comprised of autologous, peripheral blood monocyte-derived, pro-inflammatory macrophages, transduced with adenoviral vector containing an anti-HER2 chimeric antigen receptor (CAR)
- CAR-T cell therapies have shown success in numerous hematologic malignancies, solid tumors remain a major challenge in the field.
- A Phase 1, First in Human Study of Adenovirally Transduced Macrophages Engineered to Contain an Anti-HER2 CAR in HER2 Overexpressing Solid Tumors.
- These engineered myeloid cells traffic to tumors, reduce tumor burden, reprogram the TME, and induce a broad anti-tumor adaptive immune response in pre-clinical models of HER2 overexpressing solid tumors.

Logos for UNC and Lineberger Comprehensive Cancer Center are at the bottom.

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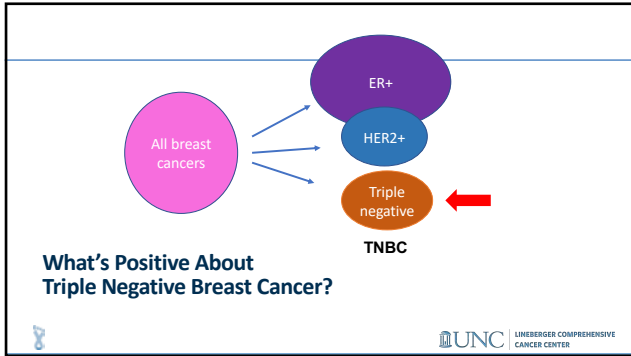
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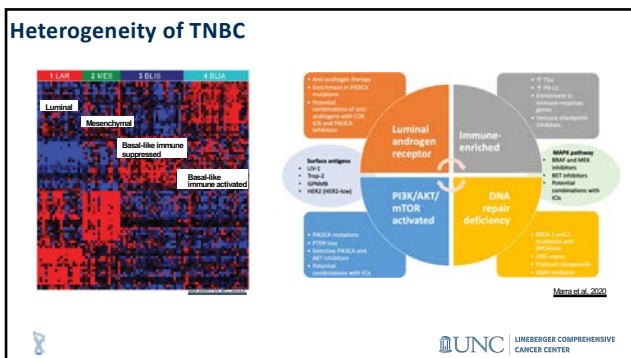
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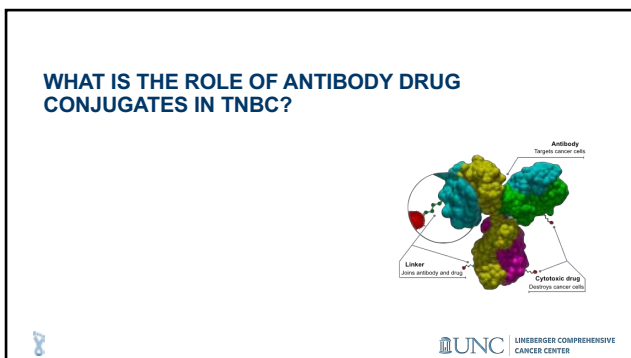
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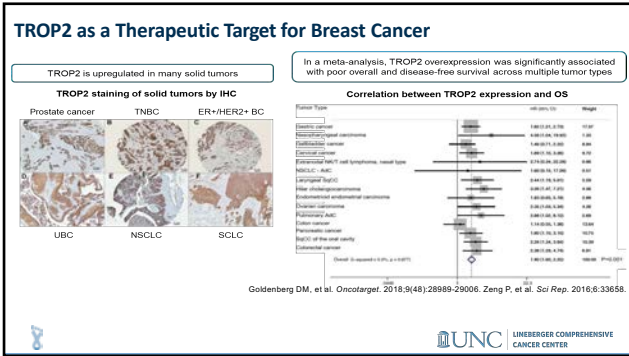
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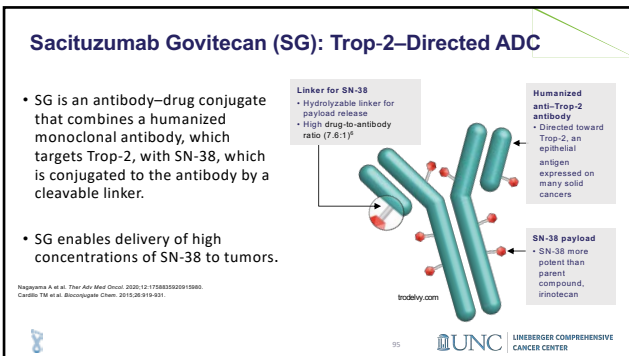
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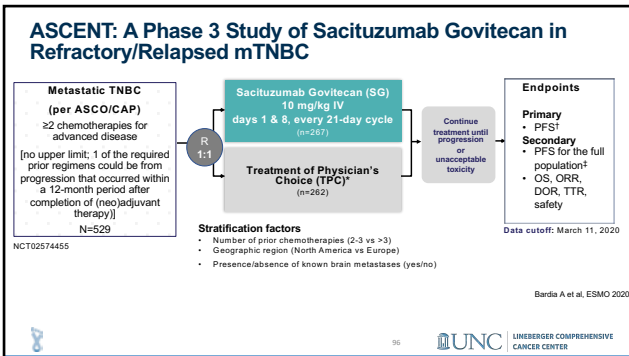
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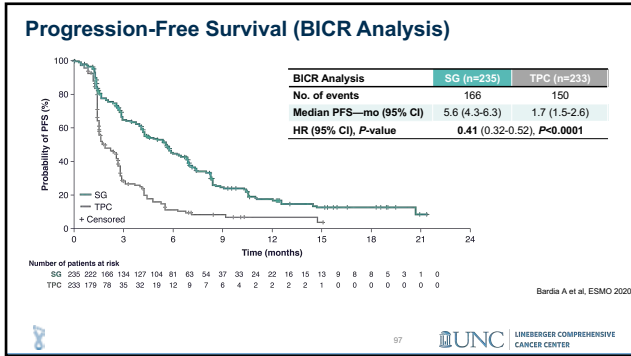
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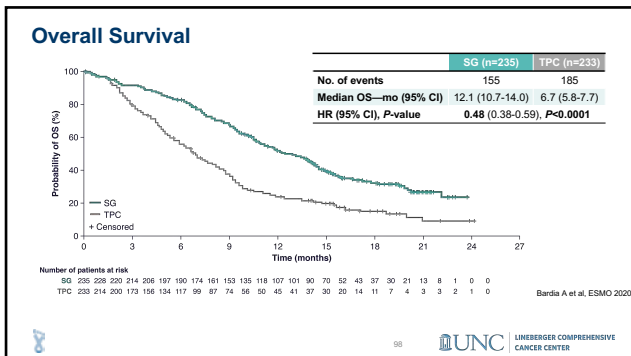
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### TRAEs

TRAE*	SG (n=259)			TPC (n=224)		
	All grade %	Grade 3, %	Grade 4, %	All grade, %	Grade 3, %	Grade 4, %
Neutropenia <sup>1</sup>	63	46	17	43	27	13
Anemia <sup>1</sup>	34	8	0	24	5	0
Leukopenia <sup>1</sup>	16	10	1	11	5	1
Febrile neutropenia	6	5	1	2	2	<1
Diarrhea	59	10	0	12	<1	0
Nausea	57	2	<1	26	<1	0
Vomiting	29	1	<1	10	<1	0
Fatigue	45	3	0	30	5	0
Alopecia	46	0	0	16	0	0

- Key grade ≥3 TRAEs (SG vs TPC): neutropenia (51% vs 33%), diarrhea (10% vs <1%), leukopenia (10% vs 5%), anemia (8% vs 5%), and febrile neutropenia (6% vs 2%).
- No severe cardiovascular toxicity, no grade >2 neuropathy or grade >3 interstitial lung disease with SG
- No treatment-related deaths with SG; 1 treatment-related death (neutropenic sepsis) with TPC
- AEs leading to treatment discontinuation were low for SG and TPC: 4.7% and 5.4%
- Patients received a median of 7 treatment cycles of SG, with a median treatment duration of 4.4 months (range, 0.03-22.9)

Bardia A et al. ESMO 2020

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On April 7, 2021, the FDA granted approval to sacituzumab govitecan for patients with unresectable locally advanced or metastatic TNBC who have received two or more prior systemic therapies, at least one of them for metastatic disease.

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In this sub-analysis from the ASCENT study, Lisa Carey and colleagues assessed safety and efficacy outcomes in patients who had disease recurrence within 12 months of completing (neoadjuvant chemotherapy and received only 1 regimen in the metastatic setting) prior to study enrollment.

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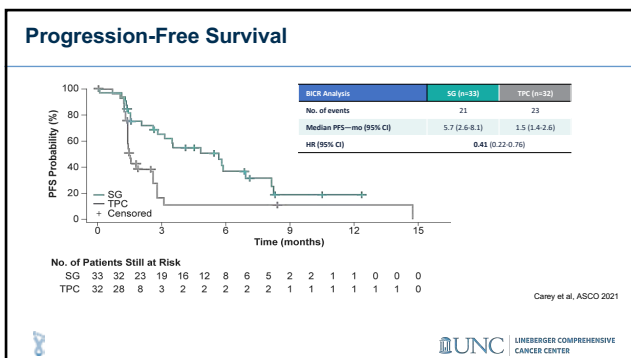
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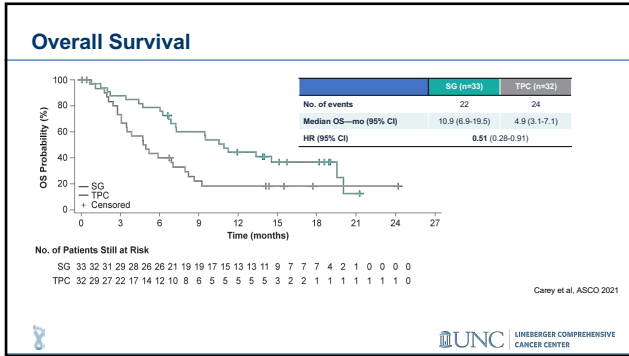
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### Conclusions

The efficacy benefit and safety profile in this exploratory sub-analysis are consistent with that of the overall ASCENT study population across all key endpoints, suggesting that SG is efficacious in a population of patients with early relapse who may be resistant to chemotherapy

These data support SG as a second-line treatment option for patients with mTNBC who have received only 1 prior systemic therapy for metastatic disease.

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### Ongoing Studies With Sacituzumab Govitecan in Breast Cancer

Study	Ph	Patients	N	Arms	1 <sup>o</sup> EP	Est Study Completion
NCT04230109 (NeoSTAR)	2	Pts with localized TNBC	~50	Safety and efficacy of sacituzumab govitecan in localized TNBC	DFS, OS	August 31, 2023
NCT03992131 (SEASTAR)	1b/2	Pts with TNBC and other cancers	329	Safety, tolerability, PK, and preliminary efficacy of sacituzumab govitecan + rucaparib in patients an advanced/metastatic solid malignancy	Safety, ORR	March 2024
NCT04039230 <sup>a</sup>	1/2	Pts with mTNBC	65	Effects of sacituzumab govitecan + talazoparib	Safety	August 31, 2024

DFS, disease-free survival.  
 1. U.S. National Library of Medicine ClinicalTrials.gov. URL: <https://clinicaltrials.gov/ct2/show/NCT04230109>. Accessed November 7, 2020. Last updated: July 18, 2020. 2. U.S. National Library of Medicine ClinicalTrials.gov. URL: <https://clinicaltrials.gov/ct2/show/NCT03992131>. Accessed November 7, 2020. Last updated: August 26, 2019. 3. U.S. National Library of Medicine ClinicalTrials.gov. URL: <https://www.clinicaltrials.gov/ct2/show/NCT04039230>. Accessed November 7, 2020. Last updated: May 9, 2020.

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### Datopotamab Deruxtecan: New TROP2 ADC on the block

**TROPION-PanTumor01 (NCT03401385) – TNBC Cohort**  
Phase 1, First-in-human, Dose Escalation and Expansion Study

- Advanced/metastatic HR-/HER2-negative breast cancer (TNBC)\*
- Relapsed/progressed on standard treatment
- Unselected for TROP2 expression\*
- Measurable disease (per RECIST version 1.1)

**Data-DXd 6mg/kg IV Q3W N=40**

2 patients received 8 mg/kg prior to selection of the 6 mg/kg dose for dose expansion

**Primary objectives include:**

- Safety, Toxicity

**Secondary objectives include:**

- Efficacy, Pharmacokinetics

Data cutoff January 8, 2021

Current analysis includes 24 patients treated at the 6-mg/kg dose (n=22) and 8-mg/kg dose (n=2)\* in 80 other advanced tumor types

Barda A et al. ESMO breast 2021

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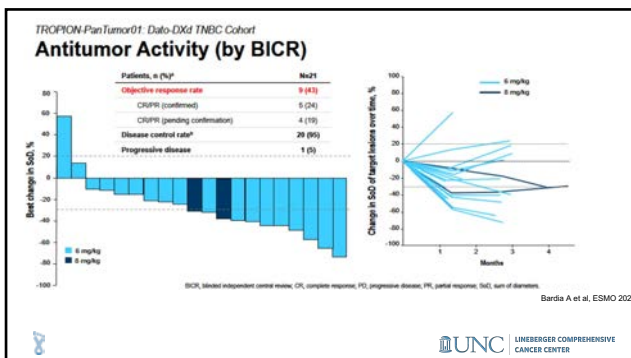
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### WHAT IS THE ROLE OF IMMUNOTHERAPY IN TNBC?

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### Targeting the PD-1/PDL-1 Pathway in Breast Cancer

In breast cancer, TNBC is the best candidate for cancer immunotherapy:

- Higher rate of mutational complexity
- Presence of TILs
- Higher rates of PD-L1+ expression by tumor cells and immune cells

Ribas A. N Engl J Med 2012;366:2517-2519  
Cimino-Mathews/Faube/Emens et al Humanc Pathol 2016; 47: 52-63; Cimino-Mathews/Foote/; Emens Oncology 2015; 29: 375-385. Slide courtesy of Sara Tolary

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### IMpassion130

**Key eligibility criteria**

- Histologically documented metastatic or inoperable, locally advanced TNBC
- No prior therapy for advanced TNBC<sup>a</sup>
  - Prior chemotherapy including taxanes allowed in curative setting if treatment-free interval ≥ 12 mo
- ECOG PS 0-1
- Eligible for taxane monotherapy
- Tumour tissue for PD-L1 testing (N = 902)

**Stratification factors**

- Liver metastases (yes vs no)
- Prior taxanes (yes vs no)
- PD-L1 status (positive vs negative)<sup>b</sup>

**Atezolizumab<sup>b</sup> + nab-paclitaxel<sup>c</sup>**

**Placebo + nab-paclitaxel<sup>c</sup>**

Double-blind

R 1:1

Treatment until progression or unacceptable toxicity

**Co-primary endpoints:**

- PFS<sup>d</sup> and OS (hierarchically tested in ITT and PD-L1 IC+ populations)

Emens LA, ESMO 2020.

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### Final OS in the PD-L1 IC+ population

	PD-L1 IC+ population	
	A + nP (n = 185)	P + nP (n = 184)
OS events, n (%)	120 (65)	139 (76)
Stratified HR	0.67 (0.53, 0.86) <sup>ab</sup>	

**FDA approves atezolizumab for PD-L1 positive unresectable locally advanced or metastatic triple-negative breast cancer**

Patients at risk (PD-L1 IC+ population):  
A + nP 185 177 160 145 135 121 108 98 90 86 77 67 58 52 47 41 36 31 26 21 16 11 6 3  
P + nP 184 170 150 132 113 95 85 72 66 62 54 47 38 34 7 6 3 1 NE

Emens LA, ESMO 2020.

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### KEYNOTE-355 Study Design

**Key Eligibility Criteria**

- Age ≥18 years
- Central determination of TNBC and PD-L1 expression
- Previously untreated locally recurrent inoperable or metastatic TNBC
- Completion of treatment with curative intent ≥6 months prior to first disease recurrence
- ECOG performance status 0 or 1
- Life expectancy ≥12 weeks from randomization
- Adequate organ function
- No systemic steroids
- No active CNS metastases
- No active autoimmune disease

R  
2:1

Pembrolizumab + Chemotherapy<sup>a</sup>

Placebo + Chemotherapy<sup>a</sup>

Progressive disease/cessation of study therapy

**Stratification Factors:**

- Chemotherapy on study (taxane vs gemcitabine/carboplatin)
- PD-L1 tumor expression (CPS ≥1 vs CPS <1)
- Prior treatment with same class chemotherapy in the neoadjuvant or adjuvant setting (yes vs no)

Cortes J et al. ASCO 2020

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### KEYNOTE 355: Progression-free survival

**ITT**

**PD-L1 CPS ≥1**

**PD-L1 CPS ≥10**

On November 13, 2020, the FDA granted accelerated approval to pembrolizumab in combination with chemotherapy for mTNBC whose tumors express PD-L1 (CPS ≥10).

Statistical significance was not tested due to the prespecified hierarchical testing strategy

Prespecified P value boundary of 0.00111 not met

Prespecified P value boundary of 0.00411 met

OS follow up ongoing

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### IMpassion131 trial design

**Key Eligibility Criteria**

- Metastatic or unresectable locally advanced TNBC
- No prior chemotherapy or targeted therapy for advanced TNBC
- Previous sBC treatment completed ≥12 months before randomisation
- Taxane eligible
- Measurable disease
- ECOG PS 0/1

R  
2:1

Atezolizumab 840 mg d1 & 15 + paclitaxel 90 mg/m<sup>2</sup> d1, 8 & 15

8-10 mg dexamethasone or equivalent for at least the first 2 infusions, cycles repeated q28d

Placebo d1 & 15 + paclitaxel 90 mg/m<sup>2</sup> d1, 8 & 15

**Primary endpoint:** PFS (investigator assessed)

**Secondary endpoints include:**

- OS, ORR, PFS (IRC assessed)
- PROs
- Safety
- Translational research

Mines D et al. ESMO 2020

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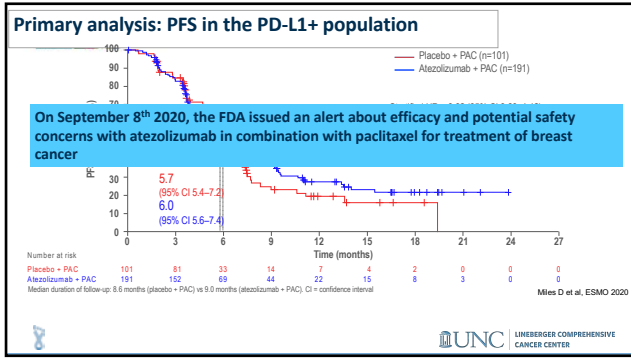
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### What about immunotherapy in early TNBC?

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### pCR rates in randomized TNBC neoadjuvant studies

GeparNUEVO	NeoTRIPaPD-L1	KEYNOTE-522	IMpassion 031
Nab-paclitaxel → EC q2 week	Nab-paclitaxel + Carbo weekly 2 on / 1 off x 8	Paclitaxel + Carbo → AC/EC q3 week	Nab-paclitaxel → AC q2 week
+/- Durvalumab (no adj)	+/- Atezolizumab (no adj)	+/- Pembrolizumab 1 year	+/- Atezolizumab 1 year
pCR = 53.4% vs 44.2% △ 9.2% (n=174)	pCR = 43.5% vs 40.8% △ 2.7% (n=280)	pCR = 64.8% vs 51.2% △ 13.6% (n=602) pCR = 63% vs 55.6% △ 7.5% (n=1174)	pCR = 57.8% vs 41.1% △ 16.5% (n=333)

Melinda Teif, ASCO 2021

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Does pCR-benefit with ICIs translate into survival benefit?

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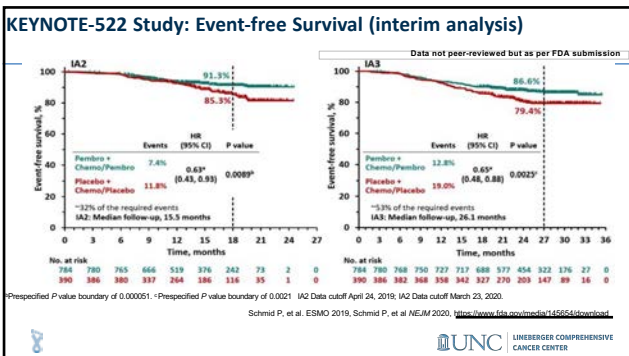
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MERCK

May 13, 2021

Merck Announces Phase 3 KEYNOTE-522 Trial Met Dual Primary Endpoint of Event-Free Survival (EFS) in Patients With High-Risk Early-Stage Triple-Negative Breast Cancer (TNBC)

Awaiting FDA approval ...

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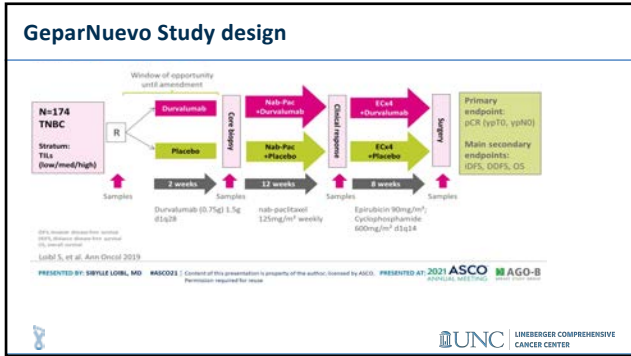
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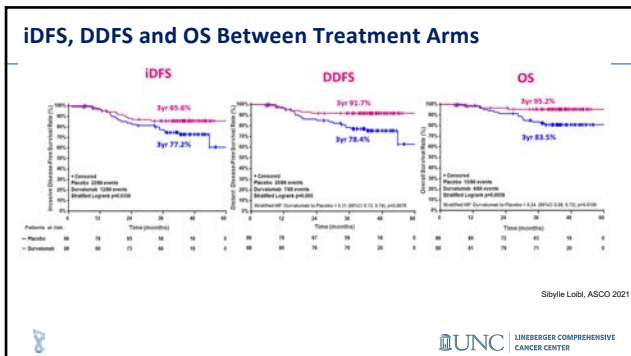
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### Questions remain?

- Biomarkers to identify optimal responders?
- De-escalation strategies in lower risk? Does everyone need that much chemo?
- Role of adjuvant ICI? Duration?
- Long term benefit and safety data?

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**WHAT IS THE ROLE OF PARP INHIBITORS IN TNBC?**

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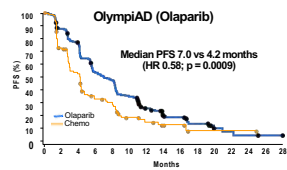
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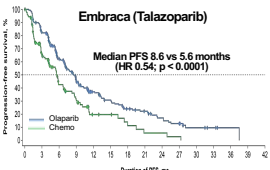
**Efficacy of PARP Inhibitors in Patients with gBRCA Mutations**

**Olympia (Olaparib)**



Median PFS 7.0 vs 4.2 months  
(HR 0.58; p = 0.0009)


**Embraca (Talzoparib)**



Median PFS 8.6 vs 5.6 months  
(HR 0.54; p < 0.0001)

Response	Olympia	Embraca
CR/PR	60%	62.6%
Chemo	29%	27.2%

Robson M, et al. ASCO 2017; Litton J, et al. SABCS 2017



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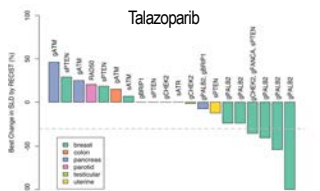
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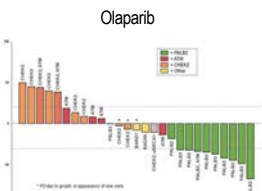
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**Germline mutations in PALB2**

**Talzoparib**




**Olaparib**



\*P < 0.05 by graph in comparison of the lines

Gruber J, et al ASCO 2019; Tung N, et al. ASCO 2020



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**A phase III, multicenter, randomized, placebo-controlled trial of adjuvant olaparib after (neo)adjuvant chemotherapy in patients with germline BRCA1/2 mutations and high-risk HER2-negative early breast cancer**

ASCO 2021 Plenary session



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### OlympiA: Trial schema

- Local genetic testing or on-study central screening (Myriad GeneSight Inc.)
- Germline pathogenic or likely pathogenic BRCA1/2 mutation
- HER2-negative (hormone receptor-positive or TNBC)
- Stage II-III Breast Cancer or lack of PathCR to NACT

**Neoadjuvant Group**

- TNBC: non-pCR
- Hormone receptor-positive: non-pCR and CPS+EG score  $\geq 3$

**Eligibility: n=1836, gBRCA1/2m carriers with**

- TNBC
  - Adjuvant: pN+ or pT > 2cm or
  - Neoadjuvant: No pCR
- ER or PR+ / HER2-neg
  - Adjuvant:  $\geq 4+$  nodes or
  - Neoadjuvant: No pCR and CPS & EG score  $\geq 3$

**Intervention**

- Adjuvant PARPi vs. placebo x 1 yr after all standard Rx
  - Prior platinum-based chemotherapy (yes vs. no)

**Olaparib** 300 mg twice daily for 1 year

**Primary End Point**

- Invasive disease-free survival (IDFS) by STEEP system<sup>1</sup>


**Secondary End Points**

- Distant disease-free survival<sup>1</sup> (DDFS)
- Overall survival<sup>1</sup> (OS)
- BRCA1/2 associated cancer Symptom / Health related QoL
- Safety

**Concurrent Adjuvant Therapy**

- Endocrine therapy
- Bisphosphonates
- No 2nd Adjuvant Chemotherapy

Tutt et al. ASCO 2021



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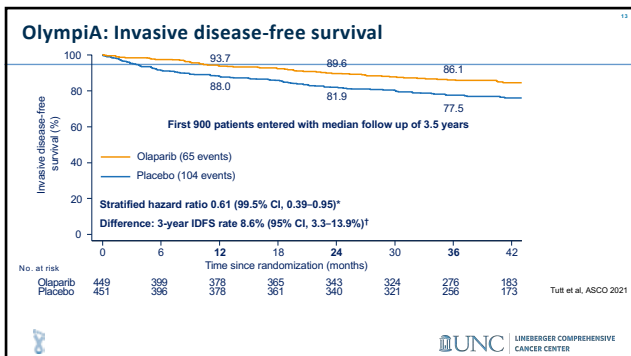
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**OlympiA: TAKE HOME POINTS**

- Addition of Olaparib to standary therapy significantly improved 3y iDFS and DDFS for gBRCA1/2 carriers with:
  - TNBC (>2cm or node +)
  - HR+/HER2- (≥ 4+)
- No new safety
- Upfront Genetic testing needed to make treatment decisions

**Results are practice changing!!**



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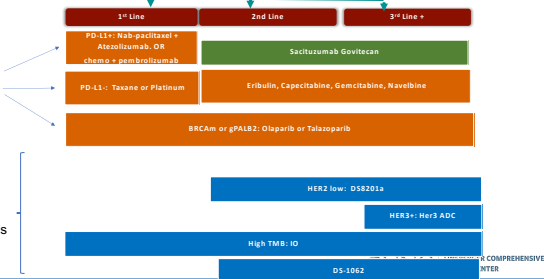
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**Approach to Therapy for Metastatic TNBC+ disease: Move to Personalization**



Potential Future Strategies



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**Summary**

- Immunotherapy is now standard in PD-L1+ mTNBC
  - 2 approved checkpoint inhibitors combined with chemotherapy
  - Only a subset of patients can benefit
- Antibody drug conjugates
  - Sacituzumab is a new treatment option for TNBC
  - Other ADCs in development: LIV1A, TDxd, U3-1402, DS-1062
- PARP inhibitors appear active in patients with gPALB2 and s+gBRCA1/2 mutations
- Novel Immunotherapy combinations are being explored with PARP, anti-angiogenic agents, IL-2 agonists, IL-12, ADCs, and others



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### Are We Starting To Make a Difference?

- **Improved multidisciplinary care** = better surgical and long-term outcomes for early TNBC
- **Improvements in medical management** = beginning to bend the curve in metastatic TNBC
- **Better understanding of TNBC biology** = finding targets for treatment and eliminating the term "triple negative breast cancer"

Cancerfellow et al. JNCI 2018

Slide courtesy of Lisa Carey (modified)

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### Acknowledgements:

Lisa Carey  
Emily Ray  
Sara Tolaney

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